Code of Ethics for Research and Publications



Presidency University

86/1, College Street Kolkata -700073

1. Preamble

The notion of academic freedom as the core principle, the University is committed to provide a vibrant intellectual space for academic excellence through leading age research activities. In undertaking this commitment, University expects its members (Faculty, Students, Researchers and Scholars) to adhere to Mertonian universal norms of conducting research scientifically. As integrity, accountability and responsibility in conducting academic research are the cornerstones of any academic institutions, the university encourage a culture of scientific ethics in research practices, ensuring that its principles are known and practiced at all levels of the University.

2. Objectives

This document is intended to provide a set of recommendations for responsible conduct of research and the governance of all research carried out across the University by upholding the highest level of integrity, rigour and excellence in all aspects of all research following appropriate ethical, legal and professional norms and frameworks.

3. Foundation of Good Research, its Principles and Practices

3.1 Principles of Good Research

The researchers are advised to subscribe to normative principles of conducting scientific research as a part of maintaining highest standards of integrity in conducting research. These norms are —

Communism: All researchers should have common ownership of research discoveries and methods and the consequent imperative to share them freely to promote collective collaboration.

Universalism: Everyone can do research, regardless of social status, race, nationality, gender, or any other differences. Validity of research results should be independent of the socio-political stature or personal attributes of researchers.

Disinterestedness: Researchers should work only for the benefit of research. Research findings should remain uncorrupted by self-interested motivations or biasness of researchers.

Organized scepticism: All research claims should be exposed to critical scrutiny before being accepted.

3.2 Ethical Dimensions of Good Research

Merton RK. A note on science and democracy. Journal of Legal and Political Sociology. 1942;1:115–126.

Honesty- An indispensable part of all research activities needed in every step of all research works starting from initial research design to final presentation of research results. It is expected that researchers will present information truthfully and accurately in proposing, conducting and reporting research along with ethical and legal bindings if any associated with the research undertaken.

Trust- A climate of mutual trust is essential to encourage free exchange of knowledge and ideas. This can potentially enhance research collaborations and the quality of research works. It is always desirable that academic fraternity should foster an environment of mutual trust.

Rigour –A way to establish trustworthiness in the findings of a research study by paying strong attention in detailing and adopting robust methodology, in drawing interpretations and conclusions from the research; and in communicating the results as per prevailing disciplinary norms and international standards or in adhering to an agreed protocol where appropriate.

Transparency and open communication- A way to demonstrate trust and confidence on research outcome by declaring potential competing interests, reporting research methodology, data and findings openly, responsibly and accurately.

Fairness: Establishing fairness in institutional standards, practices and procedures as well as fairness in interactions between members of the community can foster good research environment. Citing appropriately works of others as per institutional norms, giving credit, including authorship where appropriate, to those who have contributed to the research can augment fairness in research.

Care and Respect: Respect for all research participants, the wider community and care for animals and the environment are the pivotal requirements for responsible conduct of research.

Legality: Compliance to valid legal norms related to the conduct and publication of research is always desirable. Research should observe laws particularly in relations to copyright and intellectual property rights, Human Rights Act, National laws regarding the use of laboratory animals, terms and conditions regulating access to research resources.

Accountability: Ensure that any research undertaken complies with agreements, terms and conditions relating to the project if any. This includes ensuring there are fully auditable records of, for example, timesheets, participants' consent, where required, all relevant approvals, and have access to and understanding of any associated legal agreements, grant terms and conditions, etc. Researchers also advised to ensure good stewardship of public resources used to conduct research and to consider the consequences and outcomes of research prior to its communication.

3.3 Ethical Consideration of Research Projects

Faculty members and scholars while undertake any research project, should consider ethical implications and impact of the research on -

People involved in or affected by the research

2

- Welfare of animals
- Socio-cultural, Socio-political, Socio-economical dimensions and sensitivities
- Protected species and sites
- The environment

3.4 Publication Ethics-

Researchers are encouraged to publish their research and research findings in an appropriate form (peer reviewed journal article/ Book chapter/ Book, etc) of publications for wider dissemination. While doing so, researchers are advised to follow the latest guidelines laid down by COPE² (Committee on Publication Ethics) and ICMJE³ (International Committee of Medical Journal Editors) for maintaining high integrity in publications.

4. Research Misconduct

Misconduct in academic research includes the intentional fabrication, falsification or plagiarism in proposing, performing, or reviewing research, or in reporting research results along with deliberate deviations from the accepted practice of carrying out research. It does not include honest errors, differences of opinion, or authorship disputes⁴.

- · Fabrication: Invention / making up of research data or cases, and results.
- Falsification: Wilful distortion of data, image, etc. It also includes changing or omitting data or results when it does not accurately reflect the research but fit with desired results.
- Plagiarism: copying of ideas, data, or words without proper attribution
- Abuse of Intellectual Property Rights(IPR): Failure to observe legal norms of IPR including copyright and the moral rights of authors.
- Abuse of Research Resources: Failure to observe the terms and conditions of institutionally licensed research resources.
- Personation: The situation arises when someone other than the researcher who has submitted any academic work has prepared (parts of) the work.
- Sabotage: Wilfully disrupting the experiments of others or acting to prevent others from completing their work.
- Professorial misconduct: Professorial acts that are arbitrary, biased or exploitative.

5. Researchcher's Role

- Support a culture of responsible research conduct at the institution and in their field of practice.
- Ensure that the ethics principles of research merit and integrity, justice, beneficence and respect are applied to human research.
- Maintain the highest standards of rigour and integrity in all research works at all times.

https://publicationethics.org/files/u7141/1999pdf13.pd

https://www.icmje.org/icmje-recommendations.pdf

https://www.govinfo.gov/content/pkg/FR-2000-12-06/pdf/00-30852.pdf

- Comply with legal and professional frameworks, obligations and standards as required by statutory and regulatory authorities, employers, funders and other relevant stakeholders.
- Disclose and manage actual, potential or perceived conflicts of interest.
- Handle potential instances of research misconduct in an appropriate manner, acting in good faith and taking reasonable steps to ensure any recommendations made by formal research misconduct investigation panels are implemented.
- Monitor and report any adverse events occurring in the course of your research according to the process in your department to Ethics Committee.
- Acknowledge those who have contributed to the research.
- Cite and acknowledge other relevant work appropriately and accurately.
- Retain clear, accurate, secure and complete records of all research including research data and primary materials. Where possible and appropriate, allow access and reference to these by interested parties.
- Disseminate research findings responsibly, accurately and broadly. Where necessary, take action to correct the record in a timely manner.

6. University's Role in maintain Ethical Practices

- 6.1 Training and Awareness: The institutions will periodically organise seminars, workshops etc. to facilitate training and supervision in research ethics and have routines for handling ethical questions and cases. Emphasis will also be given on continued education and training to keep researchers apprised of contemporary issues related to research integrity and publication ethics.
- 6.2 Leadership and supervision: The Chief/Principal Investigators are responsible for ensuring proper ethical review of researches undertaken. They also ensure that research scholars working under his supervision are fully aware of, and comply with, the University's expectations for the ethical conduct of research, the ethical requirements of research funders, and the expectations of the concerned research discipline.
- 6.3 Setting of Ethics Committee: The University has set up central Institutional Academic Integrity Panel (IAIP) and directed every department to set up Departmental Academic Integrity Panel (DAIP). These panels will be functioning to promote the best practices by all its research scholars. However, in the event of any unwanted misconduct observed within the University or its constituent unit, the respective DAIP will investigate the case and in consultation with IAIP shall take appropriate measures to prevent such incidences.

Declaration:

The following policy documents on good practice in academic research in the following institutions are being consulted by the team to prepare this document: -

- 1. University Grants Commission (UGC) Regulations for promotion of academic integrity and prevention of plagiarism in Higher Educational Institutions (HEI). https://www.ugc.ac.in/pdfnews/7771545_academic-integrityat: Available
- 2. ICMR Policy on Research Integrity and Publication ethics 2019. Available at: https://main.icmr.nic.in/sites/default/files/upload_documents/ICMR_policy_ripe.pdf.
- 3. European University Institute. Code of Ethics in Academic Research. IUE 263/22 https://www.eui.eu/Documents/ServicesAdmin/ (CA-249) rev.1. Available at: DeanOfStudies/CodeofEthicsinAcademicResearch.pdf.
- 4. University of Nottingham. Code of Research Conduct and Research Ethics. Version 8, Revised on 18th Nov., 2021. Available at: https://www.nottingham.ac.uk/research/ resources/documents/code-of-research-conduct-and-research-ethics-v8.0-18-nov.-
- 5. The Australian Code for the Responsible Conduct of Research, 2018 (the 2018 Code). Available at: https://www.nhmrc.gov.au/about-us/publications/australiancode-responsible-conduct-research-2018

Internal Quality Assurance Cell (IQAC)

Faculty Councils Presidency Julyers Presidency University Kolkata-700073

COUNTER SIGNED BY

Registrar Presidency University Kolkata - 700 073



Ref No. PU/ADMIN/AUG-016

Date: 04/08/2022

Undersigned is directed to all concerned that "Institutional Ethical Committee for Research on Human" has been reconstituted by the Hon'ble Vice-Chancellor as per protocol of ICMR with the following members;

- 1. Prof. Debidas Ghosh, Professor, Vidyasagar University: Chairman
- 2. Justice S.P. Talukdar, former Judge, Calcutta High Court: Legal Person
- 3. Shri Prabal Kr. Mukherjee, Senior Advocate, High Court(Cal): Legal Person
- 4. Dr. Ajoy Biswas, Residenct Physician, GD H&D Institute: Medical Practitioner
- 5. Dr. K.L. Chakraborty, Former M.O, Panihati State Gen Hospital: Medical Practitioner
- 6. Dr. Amit Pal, Scientist G, ICMR-NICED, Kolkata: Medical Scientist
- 7. Dr. Amit Bandhopadhyay, Asst. Prof., Calcutta University: Ethical Member from Other Institute
- 8. Prof. Devashish Sen, Former Member Secretary: Institutional Representative
- Dr. Aparna Mukhopadhyay, Asst. Prof., Presidency University: Member Secretary
- 10. Dr. Barada Laxmi Panda, Asst. Prof., Presidency University: Philosopher
- 11. Shri Dipak Roy, Group C Staff, Presidency University: Layman

The Committee will start functioning with immediate effect. The tenure of the committee would be valid for a period of 5 years from the date of issuance of this Office Order.

Registrar

Copy to:

- Hon'ble Vice Chancellor ~to bring it to her kind notice, as it refers to her valued direction
- 2. All Deans, Presidency University
- All HODs
- 4. All members of the committee
- 5. Office of the Registrar, Presidency University
- 6. Finance officer, Presidency university

COUNTER SIGNED BY

Registrar Presidency University Kolkata - 700 073

Director
Internal Quality Assurance Cell (IQAC)
Presidency University
Kolkata-700073





Hindoo College (1817-1855), Presidency College (1855-2010)

No. PU/Admin -71(13)/05-17

22.05.2017

Office order

Undersigned is directed to inform all concerned that in pursuance of the Resolution of the Governing Board, the Honourable Vice-Chancellor has formed a Research Advisory committee for the Department of Hindi with the following members:

- 1) Prof. Nirmala Jain (Rtd.): Professor, Hindi Department, Delhi University
- 2) Prof. Vasudha Dalmia (Rtd.): Professor, California University, USA
- 3) Prof. T.R.Bhat (Rtd.): Professor, Hindi Department, Dharvad University, Karnataka
- 4) Prof. Veer Bharat Talwar (Rtd): Professor, Department of Hindi, Jawaharlal Nehru University, New Delhi

The Committee shall start functioning with immediate effect and the Head of the Department of Hindi shall act as the Convener of the Committee.

Copy to:

1) The Honourable Vice-Chancellor

The Dean of the Faculty of Humanities and Social Sciences

The Head of the Danatment of Itin 1: A large ulst 1200 24.5 170

The Head of the Department of Hindi

Members of the Committee

5) All Faculty of the department of Hindi (through the HoD)

COUNTER SIGNED BY

Presidency University

Internal Quality Assurance Cell (IQAC) Presidency University Kolkata - 700073

K86/1; College Street, Kolkata - 700 073, Phone : (033) 2241 1960, Гах : (033) 2241 2738



Hindoo College (1817-1855), Presidency College (1855-2010)

No. PU/Admin - 71(3) 05-17

22.05.2017

Office order

Undersigned is directed to inform all concerned that in pursuance of the Resolution of the Governing Board, the Honourable Vice-Chancellor has formed a Research Advisory committee for the Department of Economics with the following members:

- 1) Prof. Satya Ranjan Chakravarty, ISI Kolkata
- 2) Prof. Anjan Mukherji, Honorary Visiting Professor, National Institute of Public Finance and Policy
- 3) Prof. Sankarshan Basu, Professor, Finance and Accounting, IIM Bangalore
- 4) Prof. Rohini Somanathan, Professor, Economics department, Delhi School of Economics.

The Committee shall start functioning with immediate effect and the Head of the Department of Economics shall act as the Convener of the Committee.

Faculty of Natural and Mathematical Sciences Presidency University, Kolkata

Registrar

Copy to:

1) The Honourable Vice-Chancellor for place 24.5.7

2) The Dean of the Face 1 2) The Dean of the Faculty of Natural and Mathematical Sciences - Run 24.5.17

3) The Head of the Department of Economics Imor Chouseway.

4) Members of the Committee

5) All Faculty of the department of Economics (through the HoD)

lege Street, Kolkata - 700 073, Phone : (033) 2241 1960, Fax : (033) 2241 2738



Hindoo College (1817-1855), Presidency College (1855-2010)

No. PU/Admin - 71(11)/05-17

22.05.2017

Office order

Undersigned is directed to inform all concerned that in pursuance of the Resolution of the Governing Board, the Honourable Vice-Chancellor has formed a Research Advisory committee for the Department of Physics with the following members:

- 1) Prof. Pallab Banerji: Professor, Material Science Centre, IIT, Kharagpur
- 2) Prof. Avinash Khare: Department of Physics, Savitribai Phule Pune University
- 3) Prof. Arup Kumar Raychaudhuri: Distinguished Professor (Emeritus) and Former Director, S.N.Bose National Centre for Basic Sciences, Kolkata
- 4) Amitava Raychaudhuri (Particle Physics/Theoretical Physics), Sir Tarak nath Palit Professor of Physics, Department of Physics, University of Calcutta The Committee shall start functioning with immediate effect and the Head of the Department of Physics shall act as the Convener of the Committee.

Faculty of Natural and Mathematical Sciences Presidency University, Kolkata

Copy to:

The Dean of the Faculty of Natural and Mathematical Sciences

The Honourable Vice-Chancellor for Olike Ph. S.IT

The Dean of the Faculty and The Honourable Vice-Chancellor for Olike Ph. S.IT The Head of the Department of Physics -> 0.40 24/1/17

Members of the Committee

All Eaculty of the department of Physics (through the HoD)

Internal Quality Assurance Cell (IQAC) Presidency University

Pres 86/1, College Street, Kolkata - 700 073, Phone : (033) 2241 1960, Fax : (033) 2241 2738 Kolkata - 700 073



Hindoo College (1817-1855), Presidency College (1855-2010)

No. PU/Admin -71 (9) 105-17

22.05.2017

Office order

Undersigned is directed to inform all concerned that in pursuance of the Resolution of the Governing Board, the Honourable Vice-Chancellor has formed a Research Advisory committee for the Department of Geography with the following members:

- 1) Prof. Jayanta Bhattacharya (IIT,KGP)
- 2) Prof. Ashis Kumar Paul (Vidyasagar University)
- 3) Prof. Somprakash Bandhopadhyay (IIM, Calcutta)

The Committee shall start functioning with immediate effect and the Head of the Department of Geography shall act as the Convener of the Committee.

Faculty of Natural and Mathematical Sciences Presidency University, Kolkata

Copy to:

The Honourable Vice-Chancellor for Whole St. The I's The Dean of the Faculty of Natural and Mathematical Sciences

The Head of the December 1987 The Head of the Department of Geography Jour -24.5.17

Members of the Committee

5) All Faculty of the department of Geography (through the HoD)

ance officer. The

COUNTER SIGNED BY

Registrar Presidency University Kolkata - 700 073

Presidency University

86/1, College Street, Kolkata - 700 073, Phone: (033) 2241 1960, Fax: (033) 2241 2738



Presidency Hindoo College (1817-1855), Presidency College (1855-2010)

No. PU/Admin - 71(2) 05-17

22.05.2017

Office order

Undersigned is directed to inform all concerned that in pursuance of the Resolution of the Governing Board, the Honourable Vice-Chancellor has formed a Research Advisory, committee for the Department of Mathematics with the following members:

- 1) Prof. Charugopal Chakrabarty, S.N.Bose Professor of Theoretical Physics (Retired), Department of Applied Mathematics, University of Calcutta
- 2) Prof. Subenoy Chakraborty, Department of Mathematics, Jadavpur University, Kolkata
- 3) Prof. M.S.Raghunathan, Head of the National Centre for Mathematics, IIT, Mumbai
- 4) Dr. Kingshook Biswas, School of Mathematical Sciences, RKM Vivekananda University, Belur Math, Howrah

The Committee shall start functioning with immediate effect and the Head of the Department of Mathematics shall act as the Convener of the Committee.

Faculty of Natural and Mathematical Sciences Presidency University, Kolkata

Copy to:

1) The Honourable Vice-Chancellor for plan. 24 5 17
2) The Dean of the E-2) The Dean of the Faculty of Natural and Mathematical Sciences 24.06. 2017

3) The Head of the Department of Mathematics

4) Members of the Committee

5) All Faculty of the department of Mathematics (through the HoD)

COUNTER SIGNED BY

Presidency University

Internal Quality Assurance Call (19) Presidency University Kolkata - 700073

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Kolkata - 86/10 Gollege Street, Kolkata - 700 073, Phone : (033) 2241 1960, Fax : (033) 2241 2738

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Presidency University

Hindoo College (1817-1855), Presidency College (1855-2010)

No. PU/Admin -7/(14)/05-17

22.05.2017

Office order

Undersigned is directed to inform all concerned that in pursuance of the Resolution of the Governing Board, the Honourable Vice-Chancellor has formed a Research Advisory committee for the Department of Life Sciences with the following members:

- 1) Prof.S.C Lakhotia, BHU Distinguished Professor (Lifelong) & INSA Senior Scientist
- 2) Prof. Pinakpani Chakrabarti, Professor, Biochemistry, Bose Institute, Kolkata
- 3) Prof. Dipankar Chatterji, Professor, Molecular Biophysics Unit, Indian Institute of Science, Bangalore
- 4) Dr. Anand K.Bachchawat, Professor (Biology) & Dean (Research and Development), IISER, Mohali
- 5) Dr. Anindya Ganguly, Former Sr Dy General Manager, Bharat Heavy Electricals Limited, President, Indian Society of Ergonomics (ISE)
- 6) Prof. Sampa Das, FNA, Senior Professor, Division of Plant Biology, Bose Institute, Kolkata.

The Committee shall start functioning with immediate effect and the Head of the Department of Life Sciences shall act as the Convener of the Committee.

COUNTER SIGNED BY

Registrar
Presidency University

Faculty of Natural and Mathematica Presidency University, Kolland

Registrar

pun - 24 5 .

1) The Honourable Vice-Chancellor

2) The Dean of the Faculty of Natural and Mathematical Sciences

2) The Dean of the Faculty of Natural and Mathematical Scien

3) The Head of the Department of Life Sciences

4) Members of the Committee

5) All Faculty of the department of Life S

uch the HoD)

Director
Internal Quality Assurance Cell (IQAC)
Presidency University





Hindoo College (1817-1855), Presidency College (1855 - 2010)

No: PU/Admin-30/04-17

Date: 21/04/2017

Undersigned is directed to inform you all concerned that a Journal Academic Expert Committee has been formed by Hon'ble Vice Chancellor to look after the all issues related to peer-reviewed journals listed in UGC portal with the following members:-

- 1. Director, Internal Quality Assurance Cell (Chairman)
- 2. Dean of Faculty of Natural and Mathematical Sciences
- 3. Dean of the Humanities and Social Sciences
- 4. Prof. Shanta Dutta
- Prof. Devashish Sen

The Committee will start functioning with immediate effect and may interact with any head and faculty members of the department for the purpose mentioned above.

Humanities and Social Sciences

Faculty of Napy Tod Mathematical Sciences Presidency University, Kolkata

Presidency University

- 1. Hon'ble Vice Chancellor-to bring to her kind notice, as it refers to her valued direction
- 2. All Head of the Departments
- 3. Director, Internal Quality Assurance Cell (Chairman)
- 4. Dean of Faculty of Natural and Mathematical Sciences
- 5. Dean of the Humanities and Social Sciences
- 6. Prof. Shanta Dutta
- 7. Prof. Devashish Sen

COUNTER SIGNED BY

Registrar Presidency University Kolkata - 700 073

Internal Quality Assurance Cell (IQAC) Presidency University Kolkata + 700073





Hindoo College (1817-1855), Presidency College (1855-2010)

Ref. No. IQAC/37

April 20, 2017

To The Registrar, Presidency University

Sub: Uploading of the Journal List on the UGC Website

Sir,

In order to register one as the Chairman of the University-Level Academic Journal Expert Committee at http://www.ugc.ac.in/journallist/user login.aspx to include additional peerreviewed journals that are not listed in the UGC List of Approved Journals, it is mandatory to upload the notification regarding the appointment of the Chairman. Moreover the notification on formation of Journal Academic Expert Committee must be signed by the Registrar/Vice Chancellor.

Kindly arrange to send me the notification (as stated above) at your earliest convenience so that the journals list could be uploaded.

Thanking you,

Yours sincerely,

Jimon. (Bijan Das)

Dean Humanities and Social Sciences Presidency University

Kolkata

Prof. Bijan Das

Director,

Internal Quality Assurance Cell (IQAC)

Presidency University 86/1, College Street

Kolkata 700 073

Dean Dean Additional Sciences

of Natural and Mathematical Presidency University, Kolkata

JAEC
FOX JAEC
DIQAC Chairman

Dean of Science

Dean of Arts.

Prof Shanta Duth

Prof Devashis Sen

COUNTER SIGNED BY

Director Internal Quality Assurance Cell (IQAC)

Presidency University Kolkata - 7086/4, College Street, Kolkata - 700 073, Phone : (033) 2241 1960, Fax : (033) 224 P2738 ency University

Kolkata - 700 073

RESEARCH ETHICS.

ŧ	Department	Valid from	Valid upto
	Bengali	01.11.2021	31.10.2025
2	Chemistry	01.11.2021	31.10.2025
3	B Economics	01.11.2021	31.10.2025
	1 English	01.11.2021	31.10.2025
	5 Geography	01.11.2021	31.10.2025
	6 Geology	01.11.2021	31.10.2025
	7 Hindi	01.11.2021	31.10.2025
	8 History	01.11.2021	31.10.2025,
	9 Life Sciences	01.11.2021	31.10.2025
1	0 Mathematics	01.11.2021	31.10.2025
1	1 Performing Arts	01.11.2021	31.10.2025
1	2 Philosophy	01.11.2021	31.10.2025
1	3 Physics	01.11.2021	31.10.2025
1	4 Political Science	01.11.2021	31.10.2025
1	.5 School of Biotechnology	01.11.2021	31.10.2025
1	L6 Sociology	01.11.2021	31.10.2025
	17 Statistics	01.11.2021	31.10.2025



Hindoo College (1817-1855), Presidency College (1855-2010)

Notification

Ref. No: PU/Pc/290/noh'ce /BOS/2021

Date: 18-11-2021

This is to inform all concerned that the Board of Studies of the Department of Bengali has been reconstructed with effect from 01.11.2021 for four years with the following members:-

Humanities and Social Sciences

Presidency University Kolkata

- 1. Hon'ble Vice Chancellor Chairperson
- 2. Head of the Department Convener
- 3. All full time teachers of the Department
- 4. Manas Majumder, Professor(Retd.), University of Calcutta
- 5. Krisnarup Chakraborty, Professor(Retd.), University of Burdwan
- 6. Soumitra Basu, Professor(Retd.), Rabindra Bharati University

The Committee will start functioning with immediate effect.

Registrar

Copy To:

1. Hon'ble Vice Chancellor

2. Office of the Registrar

3. Dean of the Faculty of Humanities and Social Sciences

4. Secretary to Faculty Councils

5. Finance Officer

6. Controller of Examinations

COUNTER SIGNED BY

Registrar > Presidency University Kolkata - 700 073

Secretary
Faculty Councils
Presidency University
From a 473

Director
Internal Quality Assurance Cell (IQAC)
Presidency University
Kolkata - 700073

